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09/888,049 Atty Dkt No. 9400-0013

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COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

> FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet <u>1</u> of <u>3</u>

In the Application of FRANCIS et al.

Serial No.: 09/888,049

Art Unit: 1679 1636

Filed: July 21, 2001

Examiner: Unassigned D. LAMBERTSON

Title: COMPOSITIONS AND METHODS FOR USE THEREOF IN MODIFYING THE GENOMES OF MICROORGANISMS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
21	AA-1	5,650,135	July 22, 1997	Contag et al.			
24	AB-1	6,020,121	February 1, 2000	Bao et al.			
31	AC-11	6,217,847	April 17, 2000	Contag et al.			

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Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Transla YES	ation NO
4	AD-1	WO 96/40979 A1	December 19, 1996	РСТ				
DJ	AE-1	WO 97/11690 A2,3	April 3, 1997	PCT		7		
21	AF-1	WO 97/18841	May 29, 1997	PCT		7		
21	AG-1	WO 97/40381 S1	October 30, 1997	PCT		\bigvee		
DJ	AH-1	WO 99/14311	March 25, 1999	PCT		$X \setminus $		i
21	Ai-1	WO 00/36106	June 22, 2000	PCT	7			
31	Aj-1	WO 01/18195 A2	March 15, 2001	PCT				
21	Ak-1	WO 01/18225 A1	March 15, 2001	PCT	7			
21	AI-1	WO 01/3-195 A2	May 25, 2001	PC1				

Examiner:	David	Sambertson	Date Considered:	11/	10 1	103	
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In the Application of FRANCIS et al.

Serial No.: 09/888,049

Art Unit: +619-1636

Filed: July 21, 2001

Examiner: Unassigned D. LAMBERTSON

Title: COMPOSITIONS AND METHODS FOR USE THEREOF IN MODIFYING THE GENOMES OF MICROORGANISMS

AM-1 EP 0 639 641 A February 22, 1995 EPO

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Exam. Init.	Ref. Desig.	Description
DJ.	AN-1	Hahn, T., et al., "Construction and Analysis of Modified Tn4001 Conferring Chloramphenicol Resistance in Mycoplasma <i>Pneumoniae</i> ," <i>Plasmid</i> 41:120-124 (1999)
H	AO-1	Jacobs et al., "Highly Bioluminescent <i>Bacillus Subtilis</i> Obtained Through High-level Expression of a <i>luxAB</i> Fusion Gene," <i>Mol. Gen. Genet</i> 230:251-256 (1991)
DJ	AP-1	Phillips- Jones , M.K. "Bioluminescence (<i>lux</i>) Expression in the Anaerobe <i>Clostridium</i> Perfringens," FEMS Microbiology Letters 106:265-270 (1993)
DJ	AQ-1	Loimaranta, et al., "Generation of Bioluminescent Steptococcus mutans and Its Usage in Rapid Analysis of the Efficacy of Antimicrobial Compounds" Antimicrobial Agents and Chemotherapy 42(8):1906-1910 (1998)
Del	AR-1	Meighen, E.A., "Bacterial Bioluminescence: Organization, Regulation, and Application of the <i>lux</i> Genes," <i>BASEB Journal</i> 7(11):1016-1022 (1993)
DJ	AS-1	O'Connell, K.P., et al., "Identification of Cold Shock Gene Loci in <i>Sinorhizobium</i> melilot by Using a <i>luxAB</i> Reporter Transposon," <i>Appl. Environ. Microbiol</i> . 66(1):401-405 (2000)
DJ	AT-1	Roy, G., et al., "Episomal and Stable Expression of the Luciferase Reporter Gene for Quantifying <i>Leishmania</i> SPP. Infections in Macrophages and in Animal Models," <i>Molecular and Biochemical Parasitology</i> 110:195-206 (2000)

Examiner: David familiettsen Date Considered: 11/10/03



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In the Application of FRANCIS et al.

Serial No.: 09/888,049

Art Unit: 1619-- 1636

Filed: July 21, 2001

Examiner: Unassigned D. LAMBERTSON

Title: COMPOSITIONS AND METHODS FOR USE THEREOF IN MODIFYING THE GENOMES OF MICROORGANISMS

Exam. Init.	Ref. Desig.	Description
Df	AU-1	Sibakov, M., et al., "Secretion of TEM β-Lactamase with Signal Sequences Isolated from the Chromosome of <i>Lactococcus lactis</i> subsp. <i>lactis</i> ," <i>Appl. Environ. Microbiology</i> <u>57</u> (2):341-348 (1991)
H	AV-1	Sohaskey et al., "Construction and Application of Plasmid-and Transposon-Based Promoter-Probe Vectors for <i>Streptomyces</i> ssp. That Employ a <i>Vibrio harveyi</i> Luciferase Reporter Cassette," <i>Journal of Bacteriology</i> 174(2):367-376 (1992)
DJ	AW-1	Steidler, et al., "The Expression of Photinus pyralis Luciferase Gene in Staphylococcus aureus Cowan I allows the Development of a Live Amplifiable Tool for Immunodetection" Applied and Environmental Microbiology 62(7):2356-2359 (1996)
AL	AX-1	Steinmann, et al., "Saturation Mutagenesis in <i>Escherichia coli</i> of a Cloned <i>Xanthomonas campestris</i> DNA Fragment with the <i>lux</i> Transposon Tn4431 Using the Delivery Plasmid pDS1, Thermosensitive in Replication," <i>Appl. Microbiol. Biotechnol.</i> 40:356-360 (1993)
DJ	AY-1	Ulitzur, S., <i>J. "</i> Established Technologies and New Approaches in Applying Luminous Bacteria for Analytical Purposes," Biolumin Chemilumin. <u>12</u> :179-92 (1997)
DJ	AZ-1	Zhang et al., "Bioluminescence for Biological Sensing in Living Mammals," <i>Oxygen Transport to Tissue XXI</i> , edited by Eke and Delpy. Kluwer Academic/Plenum Publishers, New York, (1999)

Examiner: David Lambertson Date Considered: 11/10/03

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In the Application of FRANCIS et al.

Washington, D.C. 2023

Serial No.: 09/888,049

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Art Unit: 1019 ル3ゆ

Filed: July 21, 2001

Examiner: Kimberly White D. LAMBERTSON

Title: COMPOSITIONS AND METHODS FOR USE THEREOF IN MODIFYING THE GENOMES OF MICROORGANISMS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
Df	AA-2	5,900,362	May 4, 1999	Eberz et al.			

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Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	slation NO
DJ	AB-2	EP 1 016 419	July 5, 2000	EPO				
A	-AC-2	-FR-2-693-475-A	January 14, 1994	France				X

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Exam. Init.	Ref. Desig.	Description
DJ.	AD-2	Francis et al., "Monitoring Bioluminiscent Staphylococcus Aureus Infections in Living Mice Using a Novel LuxABCDE Construct," <i>Infection and Immunity</i> 68(6):3594-3600 (2000)
A	AE-2	Kozlowski et al., "Vectors Permitting Visual Monitoring of Simple Transposition Events," <i>Gene</i> <u>80</u> (2):217-225 (1989)
DJ	AF-2	Lunsford, Dwayne R., "A Tn4001 Delivery System for Streptococcus Gordonil," Plasmid <u>33</u> (2):153-157 (1995)

Examiner: David Considered: 11/6/63

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 1 of 2

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In the Application of FRANCIS et al.

Serial No.: 09/888,049

Art Unit: 1619 1636

Filed: June 21, 2001

Examiner: Unassigned D. LAMBERTSON

Title: COMPOSITIONS AND METHODS FOR USE THEREOF IN MODIFYING THE GENOMES OF MICROORGANISMS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	AA-2						

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
24	AB-2	WO 90/04041	April 19, 1990	РСТ				
DJ	AC-2	WO 93/16172	August 19, 1993	РСТ				

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Exam. Init.	Ref. Desig.	Description
2)	AD-2	Bianchi et al., "Stress Responses as a Tool to Detect and Characterize the Mode of Action of Antibacterial Agents," <i>Applied and Environmental Microbiology</i> 65(11):5023-5027 (1999)
	AE 2	Francis et al., "Monitoring Bioluminiscent Staphylococcus aureus Infections in Living Mice Using a Novel lux ABCDE Construct," Infection and Immunity 88(8):3594-3600 (2000)

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examiner: David Jambertan Date Considered: 11/16/03

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant





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LIST OF PATENTS AND PUBLICATIONS
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Sheet 2 of 2

In the Application of FRANCIS et al.

Serial No.: 09/888,049

Art Unit: 4619 /636

Filed: June 21, 2001

Examiner: Unassigned D. LAMBERTSON

Title: COMPOSITIONS AND METHODS FOR USE THEREOF IN MODIFYING THE GENOMES OF MICROORGANISMS

Exam. Init.	Ref. Desig.	Description
B	AF-2	Lingnau et al., "Expression of the Listeria <i>Monocytogenes</i> EGD <i>inIA</i> and <i>inIB</i> Genes, Whose Products Mediate Bacterial Entry into Tissue Culture Cell Lines, by PrfA-Dependent and -Independent Mechanisms," <i>Infection and Immunity</i> 63(10):3896-3903 (1995)
DJ	AG-2	Loessner et al., "Structural Proteins and DNA Characteristics of 14 <i>Listeria</i> Typing Bacteriophases," <i>Journal of General Virology</i> 75:701-710 (1994)
DJ	AH-2	Loessner and Scherer, "Organization and Transcriptional Analysis of the <i>Listeria</i> Phage A511 Late Gene Region Comprising the Major Capsid and Tail Sheath Protein Genes cps and tsh," Journal of Bacteriology 177(22):6601-6609 (1995)

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